Broadband metasurfaces for anomalous transmission and spectrum splitting at visible frequencies

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Abstract – The emergent ultrathin metasurfaces are promising optical materials to enable novel photonic functionality and miniature optical devices. By elaborately design the interfacial phase shift from discrete nanoantennas with distinctive geometries, metasurfaces have the potential to shape desired wavefronts and arbitrary steer light propagation. However, the realization of broadband transmission-mode metasurfaces that operates at visible frequencies have still been significant challenging. Because it is difficult to achieve drastic broadband optical response depending on discrete plasmonic resonators and the fabrication of such subwavelength-size resonators with high uniformity is also challenging. Here, we propose an efficient yet a simple transmission-mode metasurface design comprising of a single, quasi-continuous nanoantenna as the build block. Each nanoantenna consist of a trapezoid-shaped triple-layered (Ag-SiO2-Ag) plasmonic resonator which could induce drastic gradient phase shifts for transmitted light. We numerically demonstrated broadband (500–850 nm) anomalous transmitted propagation and spectrum splitting at visible frequencies and beyond. The average power ratio of anomalous transmission mode to the first-order diffraction mode was calculated to be ~1000. Such proposed metasurface design is a clear departure from conventional metasurfaces utilizing multiple discrete resonators, and suggests applications for achieving ultrathin lenses, high SNR spectrometers, directional emitters and spectrum splitting surfaces for photovoltaics.

Key words: Metamaterials, Plasmonics, Gradient metasurface, Broadband, Anomalous transmission and reflection, Surface plasmon.

1 Introduction

Conventional optical devices including prisms, lenses, mirrors and gratings could control light propagation and shape optical wavefronts either by geometrical optics (propagation, reflection and refraction) or by diffractive optics (diffraction and interferences). However, it is quite challenging to achieve such functionalities when it comes to the regime of nanophotonics. That is because it requires the feature size of optical devices to be larger or at least close to the light wavelength of interest. In addition, the optical property of materials is determined by nature and could not be artificially manipulated. Recently, two-dimensional (2D) metasurfaces, metamaterials of reduced dimensionality, has been emergent and demonstrated to be able to engineer phase retardation along a medium’s surface, which opened up new opportunities towards achieving virtually flat optical components at nanoscale [1].

A typical metasurface design usually contains a series of discrete plasmonic nanoantennas with distinctive shape or geometry (size, rotation, density) [2, 3]. Each discrete nanoantenna correspond to a specific resonance phase retardation or amplitude tuning [4]. Through an elaborate arrangement of array along the interface, metasurfaces could realize arbitrary interfacial phase shift and ultimately any desired wavefront shape. So far, the metasurfaces have been demonstrated to enable anomalous reflection and refraction [5, 6], beam splitting, beam focusing [7] and other wavefront shaping functionalities [8–10] which could operate at visible, infrared, terahertz, and microwave frequencies. However, it is still quite challenging to achieve visible-frequency metasurfaces with broadband feature to steer transmitted light propagation [11]. It is because the discrete nanoantenna resonance would impart phase shift at narrow-bandwidth spectral region. Therefore, such metasurfaces could only provide limited operation bandwidth and suffer from strong optical losses to the transmitted light due to plasmonic resonances. Here we propose and numerically demonstrate a broadband anomalous meta-transmitarray across the visible frequency range and beyond by utilizing metasurface building block of single gradient “meta-molecule” design. Metasurface design here is a clear departure from conventional
metasurfaces based on multiple discrete “meta-atom” antenna, and has suggested significant potential for enabling practical applications of flat, ultrathin metasurfaces at visible frequencies and beyond.

2 Metasurface architecture design

The designed meta-transmitarray is schematically illustrated in Figure 1. In contrast to the previous building block design containing multiple discrete resonators (meta-atoms), the proposed unit cell here is merely composed of single trapezoid-shaped triple-layered (Ag-SiO$_2$-Ag) resonator (meta-molecule) patterned on glass substrate ($n = 1.45$). Such sample could be fabricated starting with patterning on silica substrate by electron-beam lithography and then following by a sequential deposition of Ag (30 nm thick), SiO$_2$ (40 nm thick) and Ag (30 nm thick) and finally lifting-off triple-layer structures together. The width size of the trapezoid nanoantenna gradually changes from 40 nm to 150 nm. The illumination source is normally incident to the metasurface (along the z-axis) with polarization (electric-field direction) along x-axis, as shown in Figure 1.

Full-field electromagnetic wave calculations were performed using Lumerical$^{\text{TM}}$, a commercially available finite-difference time-domain (FDTD) simulation software package. A unit cell are of $200 \times 1000$ nm$^2$ has been utilized for 3D simulation with periodic boundary conditions along x and y axis. Perfectly matched layers (PML) conditions are utilized along the light propagation (z-axis). Broadband plane wave was normally incident to the metasurface along the z-axis. The transmitted powers have been collected using a power monitor at far-field. The transmitted powers at a full range of angles are calculated by the far-field calculation option of the transmission power monitor. The complex refractive index of Ag for simulation is utilized from the data of Palik (0–2 μm) and SiO$_2$ is from the data of Palik.

3 Broadband phase shift

To understand the interfacial phase shift along trapezoid-shaped nanoantenna, one could differentiate the gradient width of trapezoid-shaped antenna into several individual nanorods with uniform width. For a single layer of trapezoid-shaped Ag antenna, the corresponding phase shift has been studied and demonstrated to enable broadband anomalous reflection at visible frequencies [12]. For the transmission mode of broadband metasurface, here each nanorod contains a triple-layer of Ag-SiO$_2$-Ag in order to enhance drastic phase modulation for the transmitted light. When excited by light polarization orthogonal to each nanorod, the interfacial phase shift is continuously tuning when the nanorod changes its width from 40 to 150 nm, exhibiting drastic phase coverage with broadband feature, as shown in Figure 2a. The gradient of the contour curves indicates the phase gradients at different wavelengths. Figures 2b–2c plot the phase shift for different nanorod width at respective wavelengths of 750 nm (Figure 2b), 650 nm (Figure 2c) and 550 nm (Figure 2d).

Specifically, the phase shift gradient is relatively flat at 550 nm and steeper at 750 nm. By merging such individual uniform nanorods into a simple trapezoid-shaped nanoantenna, the phase shift could be continuously modulated along the metasurface by changing its width from $W_x = 30$ nm to $W_y = 150$ nm. Overall, the interfacial phase shift induced by metasurface could cover from 500 to above 800 nm in visible frequencies.

Such induced abrupt phase discontinuity would provide an effective interfacial wavevector and thus steer the “normal” transmitted beam to an off-normal direction determined by the gradient of the phase change. According to the generalized Snell’s Law, the transmission angle of outgoing beam is reduced to

$$\theta_t = \arcsin \left( \frac{\lambda_o}{2\pi} \frac{d\phi}{dy} \right)$$

Additionally, due to the wavelength dispersion and the wavelength-dependent phase gradients ($\frac{d\phi}{dy}$), different frequency components of the visible light would be steered to different transmission angles. Therefore, the outgoing beam would be featured with rainbow colors, which is similar to the phenomenon of reflection-mode of metasurfaces.

4 Broadband anomalous transmission beam

Figure 3a shows the simulated all-directional transmission spectra as a function of wavelength and the transmission angle at far-field from the metasurface. There are basically five diffraction modes exhibiting on the transmission spectra, i.e., the normal transmission mode ($m = 0$), the first-order and the second-order anomalous transmission modes ($m = 1, 2$) at the positive-angle side and the first-order and second-order diffraction mode ($m = -1, -2$) at the negative-angle side. It is worth noting that in addition to normal propagation light, stronger transmitted light power is located at the first-order
Figure 2. (a) Simulated 2D contour for phase shifts of nanorods as a function of width size and wavelength. (b–d) Simulated phase shift plots as a function of nanorod width at wavelength of 750 nm, 650 nm and 550 nm, respectively.

Figure 3. Angular distribution of the simulated transmitted power at far-field from the metasurface. (a) Simulated 2D contour for far-field transmitted powers as a function of transmission angles $\theta_x$ (x-axis) and wavelength (y-axis). (b) Simulated anomalous transmitted power spectra for different transmission angles from 20° to 34° with 2° interval, respectively.
anomalous transmission mode at oblique transmission angles from ~20° to ~40°. Very minor transmitted power is scattered to the first diffraction order to the negative-angle side. Therefore, except the normal transmitted propagation light, most of the outgoing photons are redirected to propagate along oblique transmission angles. Moreover, such anomalous transmitted light contains broadband frequency components, covering visible spectra from below 500 nm to above 800 nm. For the second-order diffractions, the light power located at the positive-angle mode \( (m = 2) \) is also much stronger than the negative-angle mode \( (m = -2) \). Figure 3b plots the simulated transmission spectra for the first-order anomalous mode, respectively, scanning at detection angles between ~20° and ~40° with 2° interval. The bandwidth of the simulated transmitted power spectra at a specific angle is ~50 nm, indicating low angular dispersion for the transmitted light. Comparing the power intensities between \( m = 1 \) and \( m = -1 \) modes, the average power ratio of anomalous transmitted mode \( (m = 1) \) to the first diffraction order \( (m = -1) \) is calculated to be on the order of 10³. The maximum conversion efficiency from the incoming light to anomalous outgoing light occurs around 600–750 nm, where the highest power ratio between \( m = 1 \) and \( m = -1 \) modes takes place.

To better illustrate the anomalous propagation of these outgoing photons from metasurface, the cross-section electric-field profiles were calculated to demonstrate the modulated wavefronts shape of transmitted light. The cross-section electric field distributions are detected by a 2D field monitor placed at \( y-z \) plane \( (x = 0) \). The electric profile range is 1000 nm along \( y \)-axis, covering the entire building block and 1600 nm along \( z \)-axis. Figures 4a–4c show the calculated electric-field profiles of the transmitted wavefronts at specific wavelengths of 550 nm, 650 nm and 750 nm, respectively. The transmission angles are slightly different for different frequency components of light due to the phase gradients dispersion. Specifically, the transmitted light at 550 nm would propagate along an oblique angle of ~21° and for the wavelength of 650 nm and 750 nm, the transmission angles are ~25° and ~28°, respectively. It is observed that the wavefront shape of transmitted light exhibits strong interference patterns below the metasurface structures, which is due to a strong interference effect between the anomalous transmitted light and the normal transmitted light.

Furthermore, it is worth noting that such anomalous transmission based on metasurfaces is conceptually different from the conventional blazed gratings with triangular, sawtooth-shaped grooves. By varying the groove depth, blazed gratings accumulate gradual linear phase delay through different length of light propagation path, essentially similar with conventionally oblique refraction from an inclined plane. However, the proposed metasurface arrays enable the linear/non-linear phase discontinuities along a flat 2D surface, fundamentally based on excitation localized plasmonic modes from anisotropic resonators with sub-wavelength-size perpendicular to the incidence plane.

5 Conclusion

In summary, we have numerically proposed a transmission-mode metasurface design by quasi-continuous trapezoid-shaped nanoantenna arrays and demonstrated broadband anomalous transmission metasurfaces at visible frequencies. The designed building block consists of a triple-layered (Ag-SiO₂-Ag) plasmonic resonator to induce drastic phase shift for the transmission light. Through the gradually modulated phase shift along the interface, the metasurface could enable broadband anomalous transmission and spectrum splitting functionalities. Such virtually flat metasurfaces suggest new possibilities for integration into other photonic and plasmonic devices [13] and are promised to impact wide variety of applications such as ultrathin lenses [14, 15], high SNR optical spectrometer, polarization beam splitters, high efficiency plasmon couplers, directional emitters, and spectrum splitters for photovoltaics.
Conflict of interest

The authors have no competing financial interests.

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